



Richmond Ear, Nose & Throat  
www.RichmondENT.com

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## Welcome to Richmond Ear, Nose and Throat

We are delighted that you have chosen Richmond Ear, Nose and Throat (Richmond ENT) for your specialty care. Richmond ENT was founded in 2001 with a vision of becoming a premier subspecialty otolaryngology and facial plastic surgery practice. Originally incorporated as Advanced Otolaryngology, P.C., Richmond ENT has expanded to employ 4 physicians, 3 nurse practitioners, 2 audiologists, two hearing aid specialists, and a master esthetician.

We are committed to providing a level of service that exceeds your expectations. We schedule fewer patients per hour and try to spend more time with each patient so that we can better understand and explain your illness. We will provide you with our mobile telephone numbers so that you may contact us directly if you should have an emergency or feel that your questions were not fully answered during our office visit. We feel very blessed with the privilege of serving you and your family and pray that God may be glorified through the work that we do.

### Instructions:

*If you have not been seen this calendar year, please LOG IN to our patient portal to update or register on line and ensure confidential access to your medical record. Print and sign pages 2-8 and bring these with you to your appointment. If you are unable to complete your registration on line, please print and complete all pages of this document before you leave home for your appointment, and arrive at least 15 minutes early, so that we can manually enter the data for you.*

Don't forget:

- Insurance card
- Photo identification
- Referral, if required
- Cash, check or credit card
- Signed Patient Contract
- Signed Acknowledgement of Privacy Policy

### Services we offer:



Head/neck tumors  
Thyroid Surgery  
Salivary Surgery  
Sleep apnea  
Tonsillectomy  
Ear Tubes



CT scans  
Allergy tests  
Nasal endoscopy  
Allergy shots/drops  
Balloon Sinuplasty  
Sinus surgery



Medical spa facials  
IPL Photofacials  
Tattoo Removal  
Vein Removal  
Hair Removal  
CO2 laser



Botox  
Sculptra  
Radiesse  
Face Lifts  
Nasal Surgery  
Eyelid Surgery



Hearing Aid Fittings  
Hearing Aid Repairs  
0% Financing  
45-day Returns  
3 year warranty  
Free batteries

# Patient Contract

## Consent to Treat

You authorize the physicians, nurse practitioners, audiologists and staff of Richmond ENT to render treatment to you or your dependent. You understand that medicine is not an exact science and that no warranty can be given for any evaluation, diagnosis, treatment, or surgery. All medical and surgical treatments include risks. These risks include, but are not limited to, incorrect or missed diagnoses, improper treatments, unexpected drug reactions, and complications from surgery or anesthesia. We will do our best to inform you of specific risks whenever we feel that a reasonably prudent person would want to know those risks prior to making a choice. You are free to accept or reject any treatment plan offered by us. You hold us harmless from any liability arising out of your care.

## Assignment of Benefits

It is YOUR RESPONSIBILITY to be familiar with all aspects of your insurance coverage and to follow all requirements of your coverage for maximum benefit.

By signing below, you authorize Advanced Otolaryngology, P.C. (doing business as Richmond ENT) to submit charges directly to your insurance companies and you authorize your insurance companies to make payments directly to Advanced Otolaryngology, P.C. You authorize release of medical information to the insurance companies so that the insurance company can verify that services were provided and that the services were medically necessary and appropriate under insurance company guidelines. You further authorize the exchange of medical records between Advanced Otolaryngology, P.C. and any referring or consulting physicians involved in your care.

## Guarantee of Payment

You, as patient, parent, or guardian are personally responsible for guaranteeing payment for any healthcare services or products provided by Advanced Otolaryngology, P.C., doing business as Richmond ENT, Richmond Sinus and Allergy, Richmond Facial Plastics, or Richmond Skin and Laser. We will file insurance claim forms for participating plans if you provide us with accurate information in a timely manner. If you do not have required referrals, or we are outside your network of providers, you will be responsible for the entire bill and you may submit your own claims to the insurance company for reimbursement. If payment is denied because you are not covered under the plan, then you will be responsible for the entire balance.

## HMO Patients Require a Referral

If your insurance plan requires a referral before seeing a specialist, and if you are seen without a referral, your insurance company will deny the claim and you will be responsible for the entire fee. It is your responsibility to make sure that the referral process has been completed prior to your arrival at our office. Insurance companies will not issue referrals after the service has been received. If you feel that there has been an error in their processing of a claim, it is YOUR responsibility to contact your insurance company and your primary care physician to rectify this.

## Non-Participating Provider

We participate with most Richmond insurance networks. We are delighted to see patients out of network, and will be happy to help you in filing your own insurance claim forms. You will be responsible for paying in full at the time of service and you may file with your insurance company for possible reimbursement under your out-of-network guidelines. Please check with your insurance company so that you understand your benefits outside of network providers. . If you have been seen in the emergency room and need continued outpatient management, it is your responsibility to make sure that we are on your list of preferred providers.

## Non-Covered Services

Insurance companies do not pay for services that they consider cosmetic, investigational or otherwise not medically necessary for your health. Sublingual immunotherapy (allergy drops), the treatment of uncomplicated snoring, and any medical or surgical service that is intended purely to improve appearance of normally functioning body parts or to reverse the appearance of aging are NOT COVERED BY YOUR INSURANCE. Examples of common cosmetic procedures include botulinum toxin, Belotero, Radiesse, Sculptra, liposuction, facials, chemical peels, laser treatments, facelifts, eyelids, facial implants, earlobe repair and most rhinoplasties. These services will not be billed to the insurance company and payment is expected at or before delivery of the product or service. Other procedures may be excluded by the plan if they are considered experimental, of unproven benefit or not medically necessary under insurance guidelines. Occasionally rhinoplasty procedures are medically necessary. Procedures related to obstructive sleep apnea are usually covered, but uncomplicated snoring is not covered. Balloon Sinuplasty is considered investigational by Anthem BC/BS. We will do our best to determine in advance whether your insurance will cover certain services. If we fail to inform you in advance that a treatment is likely to be denied, then we will not hold you accountable for our charges. The following procedures are considered NOT MEDICALLY NECESSARY, and are not covered by any plan:

- Cosmetic procedures (not medically necessary)
- Snoring without obstruction (not medically necessary)
- Allergy drops (considered investigational)

## Collection Policies

Payment is due at the time of service. If you have an outstanding balance on a return visit, you are expected to settle that balance before you are seen. There is a \$50 charge for returned checks. Statements are mailed monthly for outstanding balances. If the balance due is not paid within 30 days, you agree that you will pay a 1.5% finance fee per month (annual interest rate 19.6%). If the balance due is not paid within 90 days, your account will be turned over to our collection attorney and you agree to pay attorney's fees of 25% of the amount then due plus any court costs incurred for collection of the past due balance. Short-term financing can be arranged through a bank upon request. Charity discounts are granted cheerfully upon proven financial need. Please speak to our billing manager immediately if you anticipate a financial hardship. For any form that needs to be completed and signed by a provider will incur a \$25 fee to be paid upon request of the form.

## Appointment Times

It is important that you arrive in sufficient time to update your patient information and be prepared for your office visit at the appointed time. If you arrive late, we will have to reschedule your appointment or work you in later in the day to avoid inconveniencing other patients who arrive on time. If you are a new patient or have new insurance information, you should allow at least 15 minutes to complete registration prior to your appointment. If you have preregistered online, or if you have been seen in the last year and have no insurance changes, you should arrive approximately 5 minutes prior to your appointment time. We recognize that our providers cannot guarantee to see every patient on time due to medical emergencies and our inability to accurately predict the complexity of each patient's office visit. We have found, however, that our providers often run behind because a previous patient arrived late. We apologize if you are kept waiting for your appointment, but we assure you that we will provide the same conscientious service to you that we provided the previous patient.

**If you are more than 15 minutes late, expect to be rescheduled. If you are more than 30 minutes late, you will be charged a \$25 cancellation fee. If you must reschedule, please call at least one business day in advance to avoid the \$25 cancellation fee.**

## Consent for Chart Review

In a continuing effort to improve quality of care, Advanced Otolaryngology, P.C. may periodically review your or your dependent's medical record to evaluate the outcome of certain treatments. We may telephone you to see how you or your dependent is doing. This information may be entered into a computerized database for research purposes. Neither you nor your dependent will be identified in any publications resulting from this research, without your specific signed permission. The care that you receive will not be affected by your decision to participate or not to

participate in this research. Should you decide you do not wish to participate, please list this restriction on the Release of Protected Health Information (Page 8).

### **Privacy of Protected Health Information**

Richmond ENT and Richmond Hearings Aids create and maintain health records regarding each visit or other important communications. This information is used to plan your care and treatment as well as to communicate among other health professionals involved in your care. This information may also be required to verify to your insurance company that billed services were actually provided. We will not share protected health information with anyone else except for you or the loved ones that you so choose. For more information, see the following Notice of Privacy Practices.

### **Notice of financial interest**

Richmond Hearing Aids (RHA) is an affiliated retail company that provides hearing aid services within the Richmond ENT office suite. Richmond Hearing Aids is owned and operated by the physicians at Richmond ENT. RHA contracts with Richmond ENT for office space and employees. For your privacy, each company maintains separate medical records. If you are a patient of Richmond ENT and also a customer of Richmond Hearing Aids, your provider will have access to both records when necessary for diagnosis, treatment or other operations related to your health care. Hearing aids are available elsewhere in the community, and we will be happy to coordinate care with any dispenser of your choosing.

Dr. Armstrong is also an investor in Medarva Stony Point Surgery Center ("SPSC"). Surgical services are also available at other facilities in the community.

### **By signing below, you indicate that you understand and agree to the policies in this document**

\_\_\_\_\_  
Patient, Parent, or Guardian Signature

\_\_\_\_\_  
Date

*M. Armstrong, Jr. M.D.*  
Michael Armstrong, Jr., M.D.

October 1, 2016

President, Richmond Hearing Aids, Inc.  
President, Advanced Otolaryngology, P.C.  
Doing business as Richmond ENT, Richmond Sinus and Allergy,  
Richmond Facial Plastics, and Richmond Skin and Laser

## Notice of Privacy Practices

Advanced Otolaryngology P.C., doing business as Richmond ENT, and its affiliate Richmond Hearing Aids, Inc. are dedicated to maintaining the privacy of your medical information.

### We May Use and Disclose Your Medical Information in the following ways:

The following categories describe the different ways in which we may use and disclose your medical information. Except for the purposes described below, we will use and disclose Health Information only with your permission. You may revoke such permission at any time by writing to our practice manager

**Treatment:** We can use your health information and share it with other professionals who are treating you. For example, a doctor treating you for an injury asks another doctor about your overall health condition.

**Bill for your services:** We can use and share your health information to bill and get payment from health plans or other entities. For example, we give information about you to your health insurance plan so it will pay for your services.

**Run our organization:** We can use and share your health information to run our practice, improve your care, and contact you when necessary. For example, we use health information about you to manage your treatment and services.

### Other Uses and Disclosures of Your Medical Information

We are allowed or required to share your information in other ways – usually in ways that contribute to the public good, such as public health and research. We have to meet many conditions in the law before we can share your information for these purposes. We can share health information about you for certain situations such as:

#### Help with public health and safety issues:

- Preventing disease
- Helping with product recalls
- Reporting adverse reactions to medications
- Reporting suspected abuse, neglect, or domestic violence
- Preventing or reducing a serious threat to anyone's health or safety

**Do research:** We can use or share your information for health research.

**Comply with the law:** We will share information about you if state or federal laws require it, including with the Department of Health and Human Services if it wants to see that we're complying with federal privacy law.

**Respond to organ and tissue donation requests:** We can share health information about you with organ procurement organizations.

**Work with a medical examiner or funeral director:** We can share health information with a coroner, medical examiner, or funeral director when an individual dies.

#### Address workers' compensation, law enforcement, and other government requests:

- For workers' compensation claims
- For law enforcement purposes or with a law enforcement official
- With health oversight agencies for activities authorized by law
- For special government functions such as military, national security, and presidential protective services

#### Respond to lawsuits and legal actions:

- In response to a court or administrative order
- In response to a subpoena

### Our Responsibilities

- We are required by law to maintain the privacy and security of your protected health information.

- We will let you know promptly if a breach occurs that may have compromised the privacy or security of your information.
- We must follow the duties and privacy practices described in this notice and give you a copy of it.
- We will not use or share your information other than as described here unless you tell us we can in writing. You may change your mind at any time. Let us know in writing if you change your mind.

## You Have the Right to:

### **Get an electronic or paper copy of your medical record:**

- You can ask to see or get an electronic or paper copy of your medical record and other health information.
- We will provide a copy or a summary of your health information, usually within 30 days of your request.
- We may charge a reasonable, cost-based fee.

### **Ask us to correct your medical record:**

- You can ask us to correct health information about you that you think is incorrect or incomplete.
- We may say “no” to your request, but we’ll tell you why in writing within 60 days.

### **Request confidential communications:**

- You can ask us to contact you in a specific way (for example, home or office phone) or to send mail to a different address. We will comply with all reasonable requests.

### **Get a list of those with whom we’ve shared information:**

- You can ask for a list (accounting) of the times we’ve shared your health information for six years prior to the date you ask, who we shared it with, and why.
- We will include all the disclosures except for those about treatment, payment, and health care operations, and certain other disclosures (such as any you asked us to make). We’ll provide one accounting a year for free but will charge a reasonable, cost-based fee if you ask for another one within 12 months

### **Ask us to limit what we use or share:**

- You can ask us not to use or share certain health information for treatment, payment, or our operations. We are not required to agree to your request, and we may say “no” if it would affect your care.
- If you pay for a service or health care item out-of-pocket in full, you can ask us not to share that information for the purpose of payment or our operations with your health insurer.
  - We will say “yes” unless a law requires us to share that information

### **Get a copy of this privacy notice:**

- You can ask for a paper copy of this notice at any time, even if you have agreed to receive the notice electronically. We will provide you with a paper copy promptly.

### **Choose someone to act for you:**

- If you have given someone medical power of attorney or if someone is your legal guardian, that person can exercise your rights and make choices about your health information.
- We will make sure the person has this authority and can act for you before we take any action.

### **File a complaint if you feel your rights are violated:**

- You can complain if you feel we have violated your rights by contacting us using the information on this page.
- We will not retaliate against you for filing a complaint.
- You can file a complaint with the U.S. Department of Health and Human Services Office for Civil Rights by sending a letter to 200 Independence Avenue, S.W., Washington, D.C. 20201, by calling 1-877-696-6775, or visiting [www.hhs.gov/ocr/privacy/hipaa/complaints/](http://www.hhs.gov/ocr/privacy/hipaa/complaints/).

## Your Choices

For certain health information, you can tell us your choices about what we share. If you have a clear preference for how we share your information in the situations described below, talk to us. Tell us what you want us to do, and we will follow your instructions.

**In these cases, you have both the right and choice to tell us to:**

- Share information with your family, close friends, or others involved in your care
- Share information in a disaster relief situation
- Include your information in a hospital directory

If you are not able to tell us your preference, for example if you are unconscious, we may go ahead and share your information if we believe it is in your best interest. We may also share your information when needed to lessen a serious and imminent threat to health or safety.

**In these cases we never share your information unless you give us written permission:**

- Marketing purposes
- Sale of your information
- Most sharing of psychotherapy notes

**In the case of fundraising:**

- We may contact you for fundraising efforts, but you can tell us not to contact you again.

## Changes to this Notice

We can change the terms of this notice, and the changes will apply to all information we have about you. The new notice will be available upon request, in our office, and on our web site.

**For questions about this notice, contact:**

Rose M. Guthrie, Practice Manager

1-804-330-5501 x 318

For more information and a short video explaining your rights, see:  
[www.hhs.gov/ocr/privacy/hipaa/understanding/consumers/index.html](http://www.hhs.gov/ocr/privacy/hipaa/understanding/consumers/index.html).

## Release of Protected Health Information

With whom may we discuss your care or share your medical records?

Richmond ENT maintains a web-based portal for secure transmission of your confidential health information. We strongly recommend that you contact us only through the web portal. Should you choose to contact us through a cellular phone or email account, be aware that these methods are not as private as you may think, and may be read or overheard by others. Please indicate below if you would like us to communicate confidential health information in the following ways:

- Home voice mail  Y  N
- Personal email  Y  N
- Mobile voice mail  Y  N
- Mobile text message  Y  N

Additional Contact Information (optional):

1) Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Relationship: \_\_\_\_\_ Email: \_\_\_\_\_

- This contact is a parent, guardian or has power of attorney for healthcare:  Y  N
- Confidential health information may be discussed with this person:  Y  N
- Confidential health messages may be left on this phone or Email:  Y  N

2) Name: \_\_\_\_\_ Phone: \_\_\_\_\_

Relationship: \_\_\_\_\_ Email: \_\_\_\_\_

- This contact is a parent, guardian or has power of attorney for healthcare:  Y  N
- Confidential health information may be discussed with this person:  Y  N
- Confidential health messages may be left on this phone or Email:  Y  N

## Acknowledgment of Privacy Policy

You have been given the opportunity to read our Notice of Privacy Practices. By signing below, you authorize us to request your confidential health information from any physician, nurse practitioner, pharmacy, audiologist, laboratory, radiology, pathology, hospital, or other medical facility in order to coordinate your care. You agree that we may share your confidential health information with your insurance company, physician, nurse practitioner, pharmacy, audiologist, laboratory, radiology, pathology, hospital, or other medical facility, as necessary to coordinate your treatment, obtain payment or carry out ordinary business operations related to your care. If there are any of these medical professionals from whom you wish to REQUEST or WITHHOLD specific medical information, please describe:

REQUEST or WITHHOLD information from: \_\_\_\_\_

\_\_\_\_\_  
Patient's full Name

\_\_\_\_\_  
Patient, Parent, or Guardian Signature

\_\_\_\_\_  
Date

*M. Armstrong, Jr. M.D.*  
Michael Armstrong, Jr., M.D.

October 1, 2016

President, Richmond Hearing Aids, Inc.  
President, Advanced Otolaryngology, P.C.  
Doing business as Richmond ENT, Richmond Sinus and Allergy,  
Richmond Facial Plastics, and Richmond Skin and Laser

## Personal Information

Patient Name: \_\_\_\_\_ SS#: \_\_\_\_\_ Date of birth: \_\_\_/\_\_\_/\_\_\_

Street Address: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Home Phone: \_\_\_\_\_ Work Phone: \_\_\_\_\_ Mobile Phone: \_\_\_\_\_

Personal Email: \_\_\_\_\_ Preferred Language: \_\_\_ English \_\_\_ Other: \_\_\_\_\_

Check all that apply:  Male  Female  Married  Single  Widowed

White/Caucasian  Black/African-American  Hispanic/Latino  Native American  Asian

Employed  Unemployed  Disabled  Retired  Student  Homemaker  Other: \_\_\_\_\_

School / Employer: \_\_\_\_\_ Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ Phone: \_\_\_\_\_

## Insurance Information

First Insurance Co.: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Insurance ID#: \_\_\_\_\_ Group Number: \_\_\_\_\_

Guarantor/Subscriber's Name: \_\_\_\_\_ SS#: \_\_\_\_\_ Date of birth: \_\_\_/\_\_\_/\_\_\_

Relationship to insured:  self  parent  spouse  other:

Second Insurance Co.: \_\_\_\_\_ Phone Number: \_\_\_\_\_

Insurance ID#: \_\_\_\_\_ Group Number: \_\_\_\_\_

Guarantor/Subscriber's Name: \_\_\_\_\_ SS#: \_\_\_\_\_ Date of birth: \_\_\_/\_\_\_/\_\_\_

Relationship to insured:  self  parent  spouse  other:

1) Referring Doctor: \_\_\_\_\_ Phone: \_\_\_\_\_

Address: \_\_\_\_\_ Fax: \_\_\_\_\_

Did this physician request that you obtain our opinion? Yes / No

2) Primary Doctor: \_\_\_\_\_ Phone: \_\_\_\_\_

Address: \_\_\_\_\_ Fax: \_\_\_\_\_

\_\_\_\_\_  
Patient's full Name

\_\_\_\_\_  
Patient, Parent, or Guardian Signature

\_\_\_\_\_  
Date

## New Patient Medical History

Patient's full name: \_\_\_\_\_ Birth date: \_\_\_\_\_ Today's date: \_\_\_\_\_

Please circle any recent medical problems:

Allergies or asthma  
Cosmetic concerns  
Hearing loss or ringing  
Dizziness or vertigo  
Ear pain or drainage  
Nasal congestion  
Nasal drainage  
Sinus pain or pressure  
Mouth or lip sores  
Sore throat or tonsillitis  
Snoring or sleep apnea  
Hoarseness  
Swallowing difficulty

Anesthesia problems  
Chronic illnesses  
Cancer  
Hospitalization  
Skin problems  
Headaches or migraine  
Glaucoma or cataracts  
Neck pain or swelling  
Swollen glands  
Difficulty breathing  
High blood pressure  
Heart disease  
Chest pain

Acid Reflux  
Liver disease  
Kidney disease  
Joint pain or arthritis  
Pregnancy  
Breastfeeding  
Diabetes  
Thyroid  
Stroke or seizure  
Anxiety or depression  
Hepatitis or HIV  
Bleeding or bruising  
Blood thinners

FAMILY HISTORY:

Anesthesia difficulty  
Allergies or asthma  
Diabetes  
Heart disease  
High blood pressure  
Bleeding or hemophilia  
Hearing loss  
Tobacco use in home  
Throat Cancer

Other Past Medical and Surgical History: Specify the date and treating physician if known.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Medications:  (See attached list)

Please list all current medications, contraceptives, vitamins and herbal supplements.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Drug Allergies – please describe reaction

\_\_\_\_\_  
\_\_\_\_\_

Social History (circle all that apply):

Habits

Never Smoker  
Occasional smoker  
Current smoker  
Former smoker  
Other tobacco:  
Other drug use:

Activities:

Student  
Homemaker  
Occupation:  
Unemployed  
Exercise:  
Hobbies:

Home Support

Single  
Married  
Live with family  
Live roommates  
Live alone  
Other:

Spiritual Support

Christian  
Jewish  
Muslim  
Hindu  
Other:  
Unsure

What is the **main** reason for today's visit? \_\_\_\_\_

Who is your primary doctor? \_\_\_\_\_ Who may we thank for this referral? \_\_\_\_\_

What is your preferred pharmacy? \_\_\_\_\_ Location of pharmacy? \_\_\_\_\_

You may write additional details on the back of this page

Signatures: \_\_\_\_\_  
Patient, Parent, or Guardian      Nurse or provider      Date